



萬利豐證券有限公司

Malahon Securities Limited

網址為 Website: www.malahon.com

Account Opening Procedures for Corporate Account

1. Please download all of the required account opening documents:
 - ☐ Account Information Form
 - ☐ Cash Client's Agreement
 - ☐ Certified Extract of Board Resolution (Certified by two directors)
 - ☐ 2 Specimen Signature Cards
 - ☐ Self-Certification Form – Company or Entity (CRS-E)
 - ☐ Self-Certification Form – Controlling Person (CRS-CP) (if applicable)
2. Please read the above documents carefully and thoroughly. Ask any question that you may have, particularly on the risk disclosure statement. For the Account Information Form, please fill in ALL relevant sections in its entirety, mark N/A or X where not applicable. Account Information Form with incomplete information may cause unnecessary delay of your application.
3. Please have the authorized person to sign, date, fill in her/her name in block letter and apply company chop (if applicable) on the appropriate sections of the above required documents.
4. Invite another person licensed with the Securities and Futures Commission of Hong Kong or an employee of Malahon or Justice of the Peace or other qualified professional such as: certified public accountant, lawyer, public notary or a branch manager of a bank to act as your witness, sign and print his/her name, occupation and location of witness on the Witness Signature section on the relevant documents and certify your supporting documents (point 5).
5. Please send by hand or by post the completed documents as mentioned above TOGETHER WITH the following supporting documents to Malahon Securities Limited, 5/F., Malahon Centre, 10-12 Stanley Street, Central, Hong Kong:
 - ☐ Latest Annual Return, Document of Directorship and Shareholders or their equivalents such as Certificate of Incumbency issued within 6 months (certified true copy);
 - ☐ Memorandum & Articles of Association (certified true copy);
 - ☐ Certificate of Incorporation (certified true copy);
 - ☐ Business Registration Certificate (certified true copy);
 - ☐ Certified true copy of passport / identity card of all authorized person(s) and ultimate beneficial owner(s);
 - ☐ Name Card(s) of authorized person.
 - ☐ Proof of address copy within 3 months of all authorized person(s) and ultimate beneficial owner(s) e.g. public utilities bills, bank statement. (certified true copy);

Your account shall be opened only after all the required documents are received. Once your account has been opened, a copy of the whole set of account opening documents shall be sent to you for record. The username and password of your account shall be sent to your email address separately. Please follow the instructions as provided in those emails in order to activate your account.

In order to protect your own interests, it is your duty to ensure the security of your username and password. You are also solely responsible for any claims, damages or losses, actual or perceived, and/or penalties suffered as a result of any unauthorized access, trade, misappropriations or government and/or regulatory actions.

Should you have any queries, please feel free to contact your Account Executive or our Customer Service Hotline at (852) 2536 8808, or by fax (852) 2810 4310 or email mint@malahon.com.